E-rate Invoicing, Part 1: Funding Commitments, Form 486, and Post-Commitment Changes

LAUREN ABNER
KENTUCKY DEPARTMENT FOR LIBRARIES & ARCHIVES
MAY 6, 2021

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Disclaimers



These instructions represent my unofficial interpretation of the FCC's E-rate rules and regulations. Official guidance can come only from the Federal Communications Commission (FCC) and the Universal Service Administrative Company (USAC).

USAC frequently updates the E-rate Productivity Center (EPC). What I present today is correct to the best of my knowledge, but what you see in EPC may be different.

E-rate Funding Years

E-rate Funding Years - Dates to Remember

KDLA E-rate Application Process		ss	Recurring	Services	Non-Recurring Services		
support: Lauren Abner lauren.abner @kv.gov or 502-564- 1728	Form 470 – Competitive Bidding	Form 471 – Application to Request Discounts	Form 486 – Service Start Date + CIPA Certification	Service Dates for Category One or Two	Invoicing Deadline (BEAR/472)	Service Dates for Category Two Purchase/ Installation	Invoicing Deadline (BEAR/472)
Funding Year 2019 (FY 2019-20)	Closed	Closed	120 days from: FCDL <i>or</i> Service Start Date (whichever is later)†	July 1, 2019 to June 30, 2020	February 25, 2021†	April 1, 2019 to Sept. 30, 2021†	January 28, 2022†
Funding Year 2020 (FY 2020-21)	Closed	Closed	120 days from: FCDL or Service Start Date (whichever is later)	July 1, 2020 to June 30, 2021	October 28, 2021	April 1, 2020 to Sept. 30, 2021	January 28, 2022
Funding Year 2021 (FY 2021-22)	February 25, 2021 Last date to start competitive bidding	January 15, 2021 to March 25, 2021 Form 471 filing window	120 days from: FCDL or Service Start Date (whichever is later)	July 1, 2021 to June 30, 2022	October 28, 2022	April 1, 2021 to Sept. 30, 2022	January 28, 2023
Notes	Most libraries open competitive bidding each year and must wait at least 28 calendar days to select vendor(s).	All libraries must file the Form 471 every year to receive a funding commitment.	All libraries must file the Form 486 to release funding for invoicing; FCDL = Funding Commitment Decision Letter	Both Category One and Two services may be recurring (ex: monthly internet)	May request one 120-day extension by the deadline†	Non-recurring = one-time purchases such as networking equipment	May request one 120-day extension by the deadline†

⁺The FCC extended some program deadlines in response to the COVID-19 pandemic. See the 4/3/20 Schools and Libraries Program News Brief for details.

Funding Commitment Decision Letter (FCDL)

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What's a 'Funding Commitment'?

After USAC reviews your library's Form 471—the application form used to request E-rate discounts for the upcoming funding year—a Funding Commitment Decision Letter (FCDL) will be issued to indicate:

- How much funding has been committed for the overall application
- How much funding has been committed for each Funding Request Number (FRN) listed on the application

The funding commitment for each FRN represents the maximum refund (or discount) that your library will receive for that service based on actual invoicing for the relevant funding year.

- If your library is *charged less* than what was estimated on the Form 471, the appropriate discount will be applied to that amount.
- If your library is charged more than what was estimated on the Form 471, the refund will be capped at the full amount of the funding commitment.

FCDL Email



Sat 4/17/2021 1:38 AM

EPC.Application.Administrator@usac.org

USAC: Funding Commitment Decision Letter (FCDL) available for FCC Form 471 211021017, KENTUCKY DEPA

To Wolfe, Nikole (KDLA)

Cc Wolfe, Nikole (KDLA); Abner, Lauren (KDLA)

1 You replied to this message on 4/19/2021 2:38 PM.

ха,	FCC Form 471 - 211021017 - KENTUCKY DEPARTMENT FOR LIBRARIES AND ARCHIVES.csv 1 KB	*	
	KENTUCKY DEPARTMENT FOR LIBRARIES AND ARCHIVES Funding Commitment Decision Letter.pdf	¥	



USAC will send an email to the Form 471 Certifier with the Funding Commitment Decision Letter (FCDL) attached as a PDF. An Excel file with the basic funding details will also be attached.

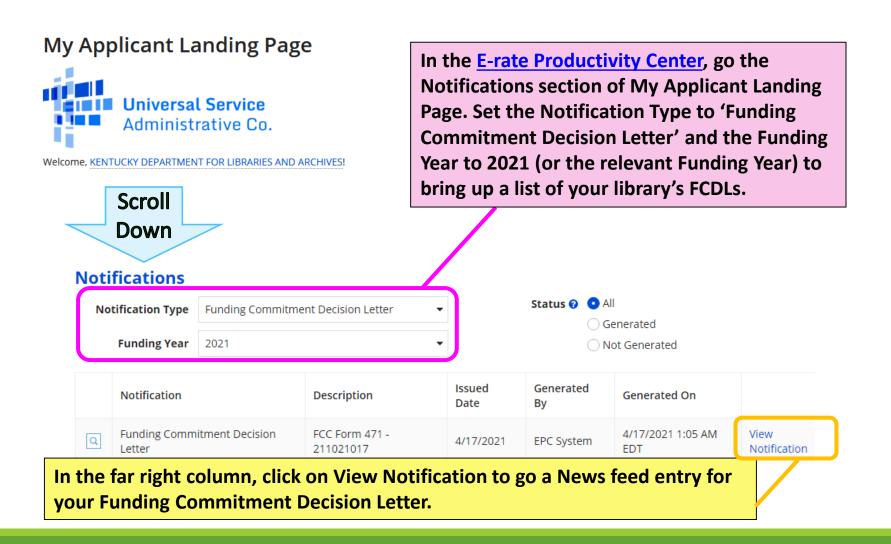
Thank you for submitting your application for Funding Year 2020 Schools and Libraries Program (E-rate) funding. Attached to this email, you will find the funding statuses for the FCC Form(s) 471, Services Ordered and Certification Form, that you submitted.

The Universal Service Administrative Company (USAC) is providing this information to both the applicant(s) and the service provider(s) so that all parties are aware of the post-commitment changes related to their funding requests and can work together to complete the funding process for these requests.

Next Steps

- 1. Work with your service provider(s) to determine if your bills will be discounted or if you will request reimbursement from USAC after paying the undiscounted cost for the services you receive.
- 2. Review the Children's Internet Protection Act (CIPA) requirements and file the FCC Form 486 (Service Confirmation and CIPA Certification Form).

Access Funding Commitment in EPC



FCDL in the **News Feed**

The News feed entry for your funding commitment links to the same documents sent by email to the Form 471 certifier.



E-Rate Productivity Center Thank you for submitting your application for Funding Year 2021 Schools and Libraries Program (E-rate) funding. Attached to this post, you will find the funding statuses for the FCC Form(s) 471, Services Ordered and Certification Form, that you submitted.

The Universal Service Administrative Company (USAC) is providing this information to both the applicant(s) and the service provider(s) so that all parties are aware of the post-commitment changes related to their funding requests and can work together to complete the funding process for these requests.

Next Steps

- 1. Work with your service provider(s) to determine if your bills will be discounted or if you will request reimbursement from USAC after paying the undiscounted cost for the services you receive.
- Review the Children's Internet Protection Act (CIPA) requirements and file the FCC Form 486 (Service Confirmation and CIPA Certification Form). The deadline to submit this form is 120 days from the date of this letter or from the service start date (whichever is later).
- 3. Invoice USAC
- If you (the applicant) are invoicing USAC: You must pay your service provider(s) the undiscounted cost for the services you receive and file the FCC Form 472, the Billed Entity Applicant Reimbursement (BEAR) Form, to invoice USAC for reimbursement of the discounted cost of services.
- If your service provider(s) are invoicing USAC: The service provider(s) must provide services, bill the applicant at the discounted rate, and file the FCC Form 474, the Service Provider Invoice (SPI) form, to invoice USAC for reimbursement for the discounted portion of costs. Each funding year, service providers must file an FCC Form 473, the Service Provider Annual Certification Form, to be able to submit invoices and to receive disbursements.

For more information, including how to appeal a decision, please see the attached letter.

Please keep a copy of this notification for your records. To print this notification, click on the date below, and use your browser's print function.

Sincerely,

Universal Service Administrative Co.



KENTUCKY DEPARTMENT FOR LIBRARIES AND ARCHIVES Funding Commitment Decision ... PDF 25 KB



#128825 - KENTUCKY ... FY 2021 Form 471 Cate...

Apr 17, 2021 ☆ A Comment More Info ▼



A Quick Review of the FCDL



April 17, 2021

Funding Commitment Decision Letter

Funding Year 2021

Contact Information: FCC Form 471: 211021017

Nikole Wolfe

KENTUCKY DEPARTMENT FOR LIBRARIES AND BEN: 128825

ARCHIVES

300 COFFEE TREE RD FRANKFORT, KY 40601 nikole.wolfe@ky.gov Page 1 – Total Committed for this application

Totals

Total Committed \$12,864.00

What is in this letter?

Thank you for submitting your application for Funding Year 2021 Schools and Libraries Program (E-rate) funding. Attached to this letter, you will find the funding statuses for the FCC Form(s) 471, Services Ordered and Certification Form. that you submitted and referenced above.

The Universal Service Administrative Company (USAC) is sending this information to both the associated applicant(s) and the service provider(s) so that you can work together to complete the funding process.

Next Steps

- Work with your service provider(s) to determine if your bills will be discounted or if you will request reimbursement from USAC after paying the full cost for the services you receive.
- Review the <u>Children's Internet Protection Act (CIPA)</u> requirements and file the <u>FCC Form 486</u> (Service Confirmation and CIPA Certification Form). The deadline to submit this form is 120 days from the date of this letter or from the service start date (whichever is later).
- 3. Invoice USAC

Page 4 – Application Comments

Funding Commitment Decision Overview

Funding Year 2021

Application Comments for FCC Form 471: #211021017

The applicant did not submit any RAL corrections.

Funding Commitment Decision Overview

Funding Request Number (FRN)	Service Provider Name	Amount Requested	Amount Committed	Status
2199029112	Commonwealth Office of Technology	\$12,864.00	\$12,864.00	Funded

Each Funding Request – Status and Reviewer Comments

FRN	Service Type	Status	
2199029112	Data Transmission and/or Internet	Funded	
	Access		

Funding Commitment Decision Comments

MR1: Approved as submitted.

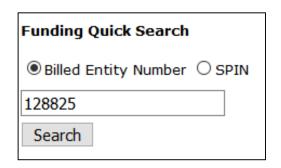
Funding Requests on E-rate Central

<u>E-rate Central</u>, an E-rate consulting firm, downloads public data about E-rate funding and displays information by state. You can quickly look up your library's funding requests for a particular year and find essential information for filing invoicing forms.

Go to the page for Kentucky E-rate info:

https://tools.e-ratecentral.com/us/stateInformation.asp?state=KY

In the Funding Quick Search, enter your library system's Billed Entity Number (BEN), then click on the Funding Year (FY):



E-Rate Organizer Utilization Summary Chart

Applicant: KENTUCKY DEPARTMENT FOR LIBRARIES AND ARCHIVES Billed Entity: 128825

FY	Req. FRNs			•	Committed Category 1	
2021	1	1	0	\$12,864.00	\$12,864.00	\$0.00
2020	1	1	1	\$12,864.00	\$12,864.00	\$0.00

Invoicing Modes

WHAT OPTIONS DO LIBRARIES HAVE FOR INVOICING THEIR DISCOUNTS?

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Invoicing Modes

Which to choose?

Every year, applicants must decide which invoicing mode to use for each funding request number (FRN) that receives a funding commitment.

The slides that follow will describe the two options for invoicing mode:

- Service Provider Invoicing (SPI)
- Billed Entity Applicant Reimbursement (BEAR)

Once E-rate invoicing has started for a particular FRN, you cannot change the invoicing mode for that FRN during the rest of that funding year except in rare circumstances.

Invoicing Mode: SPI

Service Provider Invoicing (SPI)

Your service provider may offer to apply your E-rate discount directly to your monthly bill.

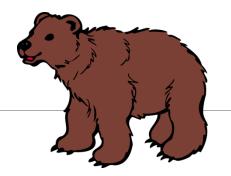
Some vendors ask you to select the invoicing mode after the funding commitment is issued; others will specify the invoicing mode on the contract.

 Some vendors require their own additional documents to be completed before SPI begins; KDLA's Technology Consultant will help if you have problems.

SPI is less paperwork for you and you won't miss out on your funding.

Caveat: Some vendors can take 2-3 (or more) billing cycles to start SPI invoicing once you request it. If you need more timely E-rate refunds, you may prefer to file BEAR forms.

Invoicing Mode: BEAR



Form 472 (BEAR)

- BEAR Form = Billed Entity Applicant Reimbursement Form
- The library pays the full invoice upfront, then files the BEAR to receive a refund via direct deposit. The library must have an approved Form 498 on file to provide direct deposit information.
- Can file BEARs periodically throughout funding year or file one form for each vendor after the funding year ends
- Deadline: No later than 120 days after the last date of service; for recurring internet service, the last service date is June 30, so the deadline is normally October 28th following the funding year
- Before the deadline, you may request 1 extension of 120 days: (https://www.usac.org/e-rate/applicant-process/invoicing/invoice-deadline-extensions/)
- More paperwork for you, but more control of the money flow.

Form 486

RELEASING FUNDING FOR INVOICING

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Purposes of the Form 486

The 486 has three purposes:

- Indicating service start dates
- Making a certification regarding compliance with the Children's Internet Protection Act (CIPA)
- Accepting & releasing funding committed to your library

A Form 486 listing the relevant funding request numbers (FRNs) must be certified before any E-rate invoicing forms can be filed, either by the service provider or the library.

Form 486 Deadline

select FCC Form 486:

ult.aspx

Form 486 Deadline:

- 120 days from start of services OR
- 120 days from the issue date for the Funding Commitment Decision Letter (FCDL)
 whichever comes latest.

For Funding Year 2021, the 486 deadline for most libraries will be October 29, 2021.

PROGRAM DEADLINES General Information FCC Form 470 FCC Form 486 FCC Form 471 Enter the two dates requested and click "Calculate" FCC Form 472 FCC Form 473 FCDL Date Service Start Date on FCC Form 486 FCC Form 474 April 2021 July 2021 ECC Form 479 FCC Form 486 Sun Mon Tue Wed Thu Fri Sat Sun Mon Tue Wed Thu Fri Sat FCC Form 498 29 30 31 **1** 2 3 FCC Form 499-A <u>11 12 13 14 15 16 17</u> 11 12 13 14 15 16 17 FCC Form 499-Q FCC Form 500 <u>18</u> <u>19</u> <u>20</u> <u>21</u> <u>22</u> <u>23</u> <u>24</u> 18 19 20 21 22 23 24 Appeals <u>25 26 27 28 29 30 1</u> 25 26 27 28 29 30 31 COMAD <u>6</u> <u>7</u> <u>8</u> Extension Requests for Calculate Invoicing USAC Extension Requests for Calculated Deadline: 10/29/2021 Non-Recurring Service Implementation & Delivery If the "Calculated Deadline" falls on a non-business day (e.g. Saturday, Sunday or federal holiday), the deadline becomes the next business day. It Invoice Deadline is your responsibility to meet deadlines. Not sure about the deadline? Use this tool and

https://apps.usac.org/sl/tools/deadlines/defa

Early Filing

Many Kentucky libraries will have the option to early-file the Form 486.

In order to file the Form 486 early, ALL of the following conditions must be met:

- Your library has received its Funding Commitment Decision Letter (FCDL).
- The services listed on the Form 486 will start in the month of July.
- Your library can truthfully make all of the certifications on the form, including CIPA compliance (if required).
- Your library is filing the Form 486 on or before July 31.

For more information on the Form 486, see the 'Starting Services' section for applicants on the USAC website:

https://www.usac.org/e-rate/applicant-process/starting-services/

Service Start Dates

RECURRING SERVICES



Recurring services = Category One internet access or transport services, or Category Two equipment maintenance invoiced on a regular schedule

Service Start Date must occur between July 1 – June 30 of the funding year. July 1st is the typical start date unless contracts begin or end mid-year.

NON-RECURRING SERVICES





Non-recurring services such as Category Two equipment purchases are also called one-time purchases.

Service Start Date is normally listed as July 1st for Category Two equipment purchases—this is the earliest possible date to list on the Form 486. Use July 1st even if your library opts for early Category Two purchase & installation which can occur from April 1 to June 30 before the normal funding year starts.

Advanced Installation

CATEGORY ONE

Non-recurring charges can be incurred up to six months prior to the July 1 start of the funding year (i.e., on or after January 1), provided that:

- Construction begins after the E-rate competitive bidding process is complete;
- The Category One recurring service depends on the installation of the infrastructure;
- The service start date is on or after the start of the funding year; and
- No E-rate invoicing forms are submitted prior to July 1 of the funding year.

For rules regarding special construction charges refer to USAC's <u>Fiber - Summary</u> Overview.

CATEGORY TWO

Non-recurring charges can be incurred up to three months prior to the July 1 start of the funding year (i.e., on or after April 1).

- You can purchase/install the equipment prior to receiving a Funding Commitment Decision Letter (FCDL), but there may be some risk involved if application review doesn't go as expected.
- Your vendor likely won't be willing to apply discounts upfront for early purchases. Anticipate paying the full invoice and filing invoicing forms on/after July 1st.

How many Forms 486 to file?

File as many Forms 486 as you need.

You can file one Form 486 if:

 Your library has received Funding Commitment Decision Letters (FCDLs) for all of the Form 471 applications you've filed.

You may need to file two or more Forms 486 if:

- One of the Form 471 applications takes longer to review, and you want to start invoicing for funding that has already been committed on another application.
- You aren't ready to make certifications about some FRNs due to questions about the service start date, etc.

CIPA Compliance (1/2)



Compliance with the Children's Internet Protection Act (CIPA) has 3 parts:

- Internet Safety Policy that addresses 5 elements relating to children's safe use of the internet at the library
- Public notice & hearing for the Internet Safety Policy
- Technology Protection Measure filter to block visual images that are obscene, child pornography, or [sexual material] harmful to minors

CIPA Compliance (2/2)

Libraries must comply with CIPA if they receive funding for internet access or any Category Two equipment and services.

 Exception: compliance is not required if the library receives support only for telecommunications (transport services only).

Compliance is critical; if you're audited and found not to be in compliance, you may have to return funding! DO NOT ACCEPT E-RATE FUNDING IF YOU CAN'T CERTIFY CIPA COMPLIANCE!

View the KDLA webinar, "Internet Filtering: CIPA Compliance", in the E-rate section of the Archived Webinars page: https://kdla.ky.gov/librarians/staffdevelopment/kdlaarchivedwebinars/Pages/default.aspx

Form 486 Walkthrough

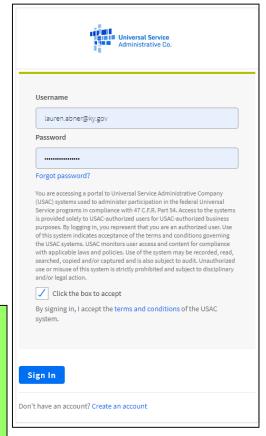
Return to Presentation Contents

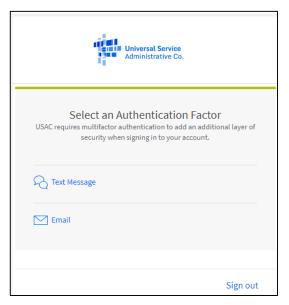
E-rate Productivity Center (1/3)

URL: https://forms.universalservice.org

EBB Program providers, EPC users and BEAR Form filers: If this is your first time logging in to One Portal, please read USAC has added multifactor authentication (MFA) to increase the security of our Universal Service Fund (USF) IT applications. The first time you sign into an application, the system will prompt you to set up MFA for your account. To do this: 1. Click the blue Continue button below. 2. Click the Forgot Password link. 3. Enter your Username (your email address) and click Reset via Email. 4. When you receive the email, click the link to create a password. Your password must be at least eight characters long and include one lowercase letter, one uppercase letter, one number, and one special character. 5. Accept the system's terms of use and click Sign In. 6. On the next page, confirm the email associated with your account and click Send Email 7. Check your email for a verification code 8. Enter the code and click Verify. After logging in, you will see USAC's new single portal application dashboard if you have access to more than one application. On this page you can access all of the USF applications associated with your log in. This means users no longer have to sign into multiple applications to interact with different USF programs. From the dashboard, you can visit the "Settings" page to add a cell phone number to your account. This will allow you to receive verification codes via either email or text message when you log in in the future. To learn more about USAC's MFA and to find a list of all USF IT applications included in the single portal, visit USAC's website If you cannot access the email associated with your account and need to set up a new log in, please contact your organization's USF administrator. If you need to reset your password, click the "forgot password" link. More detailed instructions are available in this video

- 1. Continue past the notes about multifactor authentication.
- 2. Enter your email & password, click the box to accept, and Sign In.

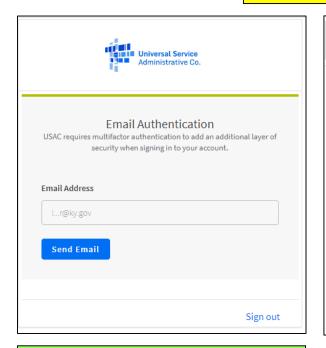




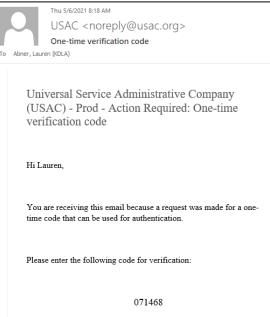
3. Choose an option for the multifactor authentication code. The default option is an email, but you can add a text option in the settings.

E-rate Productivity Center (2/3)

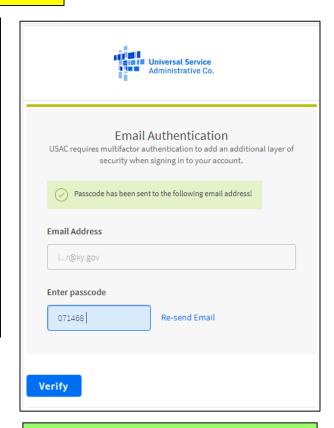
URL: https://forms.universalservice.org



4. Click on the blue button to Send Email or Send Text depending on the multifactor authentication option you selected.



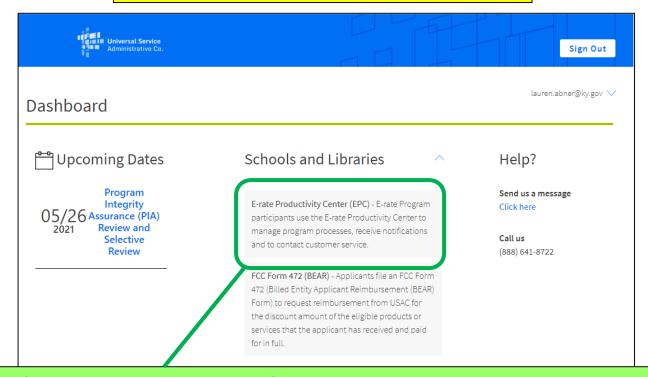
5. Retrieve code from email or text.



6. Enter code and click on Verify.

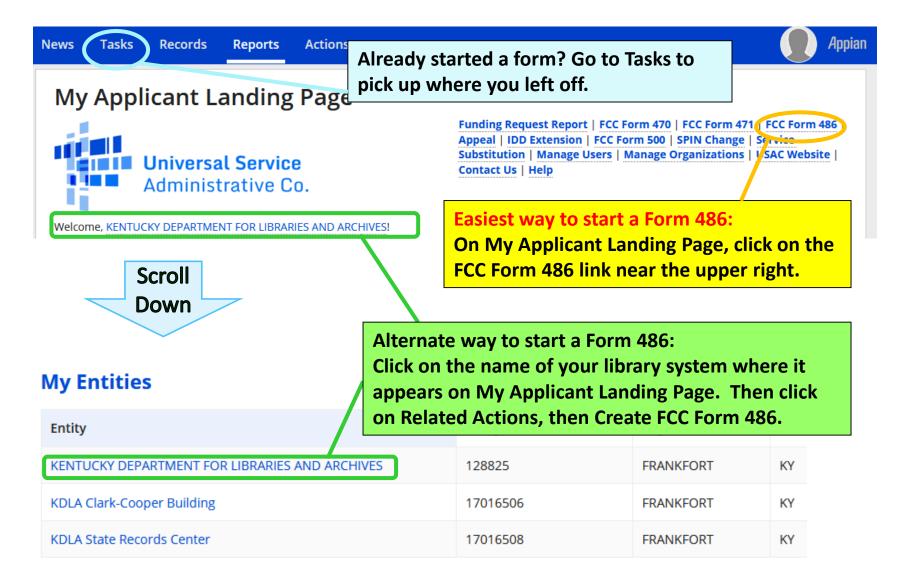
E-rate Productivity Center (3/3)

URL: https://forms.universalservice.org



7. If you have login credentials for both the E-rate Productivity Center and the legacy BEAR Online, you'll select which portal to enter from this dashboard. Users with access only to the E-rate Productivity Center will instead see an option to click on Continue.

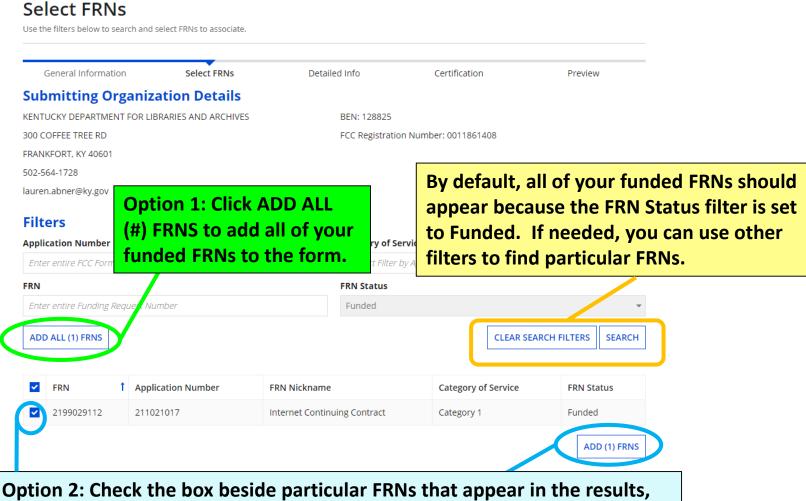
How do I start a new Form 486?



Form 486 – General information

Create FCC Form 486 General Information Select FRNs Detailed Info **Select the Funding Year from Submitting Organization Details** the drop-down. E-rate Funding KENTUCKY DEPARTMENT FOR LIBRARIES AND ARCHIVES BEN: 128825 Years are abbreviated by the FCC Registration Num 300 COFFEE TREE RD starting year. FRANKFORT, KY 40601 **Enter an** 502-564-1728 application lauren.abner@ky.gov nickname. FCC Form 486 Details Funding Year * Nickname * FY 2021-22 Category 1 2021 **Contact Information** Main Contact Person * Lauren Abner lauren.abner@ky.gov Lauren Abner 🗶 Use the Continue button to 502-564-1728 Please select a main contact person by typing the contact person's name or advance to the next page. Enter the Main Contact Person for the form—must CONTINUE be an EPC user. Select the profile that pops up in a blue box when you type the name.

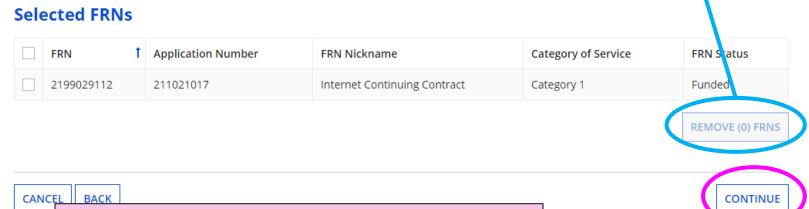
Form 486 – Select FRNs



Option 2: Check the box beside particular FRNs that appear in the results then click on the ADD (#) FRNS button. Any FRNs you add will appear in Selected FRNs below.

Form 486 – Selected FRNs

In the Selected FRNs section of the page, you should see any funding requests you added. You don't need to check any boxes in this section *unless* you want to remove an FRN from the list.



Once the desired funding requests appear in the Selected FRNs section at the bottom of the page, click on Continue.

Form 486 – Service Information

FCC Form 486

General Information Select FRNs **Detailed Info** Certification Preview

Billed Entity Information

KENTUCKY DEPARTMENT FOR 300 COFFEE TREE RD FRANKFORT, KY 40601 502-564-1728 lauren.abner@ky.gov

Service Informatio

Please confirm that the informated a service start date. To do s

By default, the form will display the Service Start Date for each FRN as it appeared on the Form 471—generally this will be July 1st, and the form will not allow you to enter an earlier date. While the form uses the phrase 'Actual Service Start Date', you are reporting the *earliest possible* Service Start Date. The overwhelming majority of FRNs should have July 1 as the start date. For Category Two FRNs, list July 1st even if you opted for early purchase or the purchase won't be made until later in the year. Exceptions: FRNs involving mid-year changes (starting a new contract, switching services providers, etc.).

Please note that the Service Start Date in the below grid is from the FCC Form 471.

~	FRN	Application Number	FRN Nickname	SPIN	Service Provider	Service Start Date	Actual Service Start Date
~	2199029112	211021017	Internet Continuing Contract	143004793	Commonwealth Office of Technology	7/1/2021	7/1/2021

Actual Service Start Date for the Selected FRN(s)

08/01/2021

UPDATE SELECTED FRNS START DATE

RARE: To correct dates, check the box to the left of the FRN(s), enter the correct date, and click the button to update.

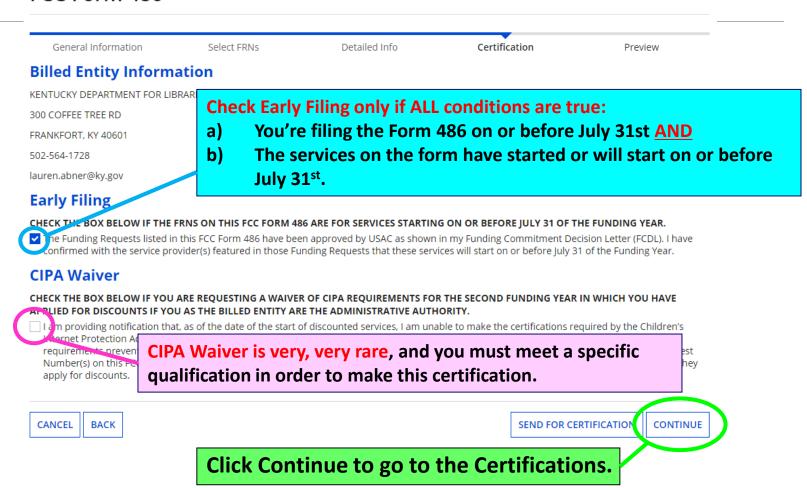
CANCEL BACK

Once all dates are correct, click on Continue



Form 486 – Early Filing and CIPA Waiver

FCC Form 486



Certifications

FCC Form 486

General Information Select FRNs Detailed Info Certification Preview

Billed Entity Information

KENTUCKY DEPARTMENT FOR LIBRARIES AND ARCHIVES

300 COFFEE TREE RD

FRANKFORT, KY 40601

502-564-1728

lauren.abner@ky.gov

Billed Entity Number: 128825

ALL FILERS should check both boxes under Certifications.

Certifications

- Locatify that the services listed on this FCC Form 486 have been, are planned to be, or are being provided to all or some of the eligible entities identified in the FCC Form 471 application(s) cited above. I certify that there are signed contracts covering all of the services listed on this FCC Form 486 except for those services provided under tariff or on a month-to-month basis. I certify that I am authorized to submit this receipt of service confirmation on behalf of the above-named Billed Entity; that I have examined this request; and that, to the best of my knowledge, information, and belief, all statements of fact contained herein are true.
- It beleased that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the solvices receive an appropriate share of benefits from those services. I recognize that I may be audited pursuant to this application and will retain for at least ten years (or whatever retention period is required by the rules in effect at the time of this certification) after the latter of the last day of the applicable funding year or the service delivery deadline for the funding request any and all records, including FCC Forms 479 where required, and, if audited, will make such records available to the Administrator.

CIPA Certifications

Check 1st option if your library is CIPA compliant. Most Kentucky libraries must comply with the Children's Internet Protection Act (CIPA) in order to receive E-rate discounts.

CIPA Certifications

- certify that as of the date of the start of discounted services: a. the recipient(s) of service represented in the Funding Request Number(s) on this FCC Form 486 has (have) complied with the requirements of the Children's Internet Protection Act, as codified at 47 U.S.C. § 254(h) and (l).
- Certify that as of the date of the start of discounted conjects Pursuant to the Children's Internet Protection Act, as codified at 47 U.S.C. 8

 254(h) and (i), the recipient(s
 LIBRARIES IN THE FIRST Fund procedures, to comply with this funding year.

 RARE: Check 2nd option if this is your library's first year since FY 2001 applying for Internet Access or Category Two and you're working towards compliance.
- Dertify that as of the date of the start of discounted services: The Children's Internet Protection Act does not apply because the recipient(s) of service represented in the Funding Request Number(s) on this FCC Form 486 is (are) receiving discount services only for telecommunications services.

CANCEL BACK

RARE. Check 3rd option if you're applying for Telecommunications Services only (ex: transport circuits only) and don't need to comply with CIPA.

PREVIEW

Once the correct CIPA certification is selected, click on Preview.

Certify

FCC Form 486

General Information Select FRNs Detailed Info Certification Preview

Form Details

Nickname FY 2021-22 Category 1

Billed Entity Information

KENTUCKY DEPARTMENT FOR LIBRARIES AND ARCHIVE

300 COFFEE TREE RD

FRANKFORT, KY 40601

502-564-1728

lauren.abner@ky.gov

Main Contact

Name Lauren Abner

Phone Number 502-564-1728

After clicking on Print Preview, you'll see a page that shows all of the information you entered. Only the certifications you selected on previous pages will be listed – early filers will see 4 certifications; filers after July 31st will likely see 3 certifications. If you need to make corrections, click on the Back button to return to previous pages.

Email lauren.apner@ky.gov

Service Information

FRN	Application Number	FRN Nickname	SPIN	Service Provider	Service Start Date	Actual Service Start Date
2199029112	211021017	Internet Continuing Contract	143004793	Commonwealth Office of Technology	7/1/2021	7/1/2021

Certifications

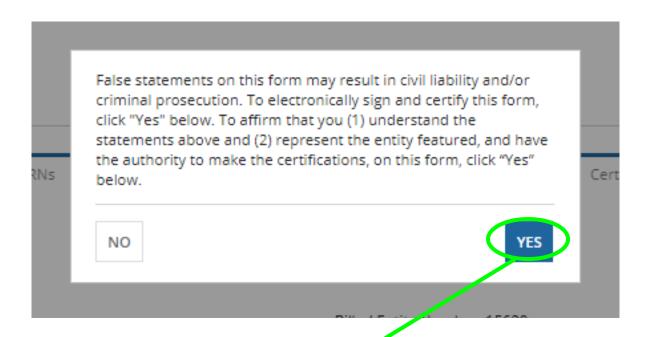
- The Funding Requests listed in this FCC Form 486 have been approved by USAC as shown in my Funding Commitment Decision Letter (FCDL). I have confirmed with the service provider(s) featured in those Funding Requests that these services will start on or before July 31 of the Funding Year.
- I certify that the services listed on this FCC Form 486 have been, are planned to be, or are being provided to all or some of the eligible entities identified in the FCC Form 471 application(s) cited above. I certify that there are signed contracts covering all of the services listed on this FCC Form 486 except for those services provided under tariff or on a month-to-month basis. I certify that I am authorized to submit this receipt of service confirmation on behalf of the above-named Billed Entity; that I have examined this request; and that, to the best of my knowledge, information, and belief, all statements of fact contained herein are true.
- I understand that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the services receive an appropriate share of benefits from those services. I recognize that I may be audited pursuant to this application and will retain for at least ten years (or whatever retention period is required by the rules in effect at the time of this certification) after the latter of the last day of the applicable funding year or the service delivery deadline for the funding request any and all records, including ECC Forms 479 where required, and, if audited, will make such records available to the Administrator.
- I certify that as of the date of the start of discounted services: a. the recipient(s) of service represented in the Funding Request Number(s) on this FCC Form 486 has (have) complied with the requirements of the Children's Internet Protection 4.3 to 5.5 5.5 4(b) and (i)

If everything is correct, click on Certify.





Pop-up warning



On the pop-up warning, click Yes to finish certifying your form.

Certifier Information

Certifier Information

You have successfully filed FCC Form 486 #31 for FY 2016

Click here to view and print the FCC Form 486

Certification Date

7/3/2017 12:36 PM EDT

Authorized Person

Name Lauren Abner

Title Account Admin

Employer Pioneer County Public Library

Address 700 12th St NW #900

Washington, DC 20005

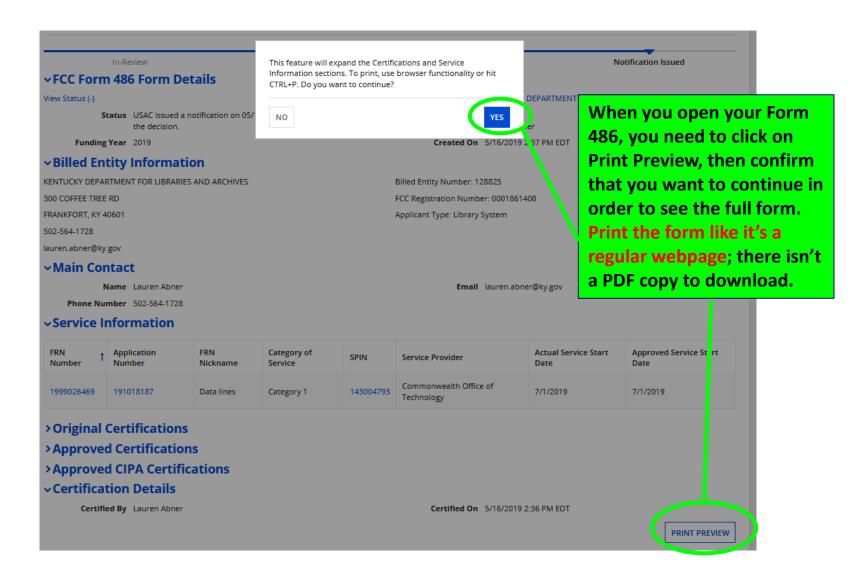
Phone 123-456-7890

Email 486_full_rights_user_ind_library_1000005@testmail.usac.org

The Certifier Information page appears immediately after you certify. You can also access this information later from the Notifications section on My Applicant Landing Page—select the Notification Type, "FCC Form 486 Certification".

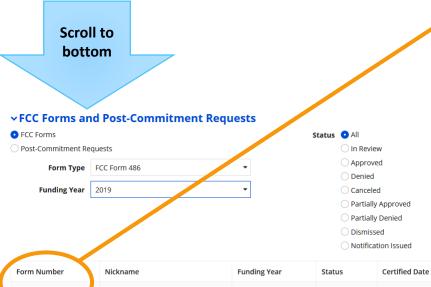
Click on the link to view your certified Form 486 and print a copy for your records.

Print Form 486



Finding your form on Landing Page





2019

Approved

5/16/2019 2:36 PM EDT

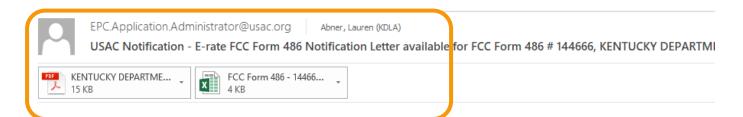
FY 2019-20 Category One

144666

If you need to print a copy of your Form 486 in the future, go to My Applicant Landing Page in the E-rate Productivity

Center and scroll to the last section on the page. Change the Form Type drop-down to FCC Form 486 and select the appropriate funding year. Click on the Form Number to open the form.

Form 486 Notification Letter Email





USAC has received and reviewed FCC Forms 486 c additional FCC Form 486 notifications about fundin Form 486 Notification Letter will also be provided y

Please read the attached FCC Form 486 Notification and/or the FRNs.

NEXT STEPS

- If not determined already, we recommend application invoice deadline date.
- Applicants have the option of receiving a bill from
 require participants to maintain documentation for at

After your Form 486 is approved, you'll receive an email with the Form 486
Notification attached in two formats: PDF and Excel. The same files can also be accessed in the E-rate Productivity Center (see next slide).

require participants to maintain documentation for at least 10 years after the last day of the applicable funding year or the service delivery deadline for the funding request, whichever is later.

Please keep a copy of this notification for your records.

Sincerely

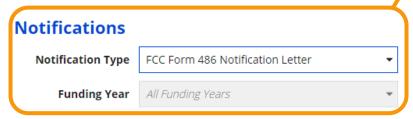
Universal Service Administrative Co.

NOTE: Please do not reply to this email.

Form 486 Notification Letter in EPC



On My Applicant Landing Page, change the Notification Type dropdown to FCC Form 486 Notification Letter. By default, EPC display results for all funding years where this portal was used (FY 2016 and forward).



Click View Notification to see the News Feed entry that includes the Letter in both formats.

	Notification	Description	Issued Date	Generated By	Generated On	
Q	FCC Form 486 Notification Letter	FCC Form 486 - 183079	5/20/2020	EPC System	5/20/2020 2:42 PM EDT	View Notification
Q	FCC Form 486 Notification Letter	FCC Form 486 - 144666	5/16/2019	EPC System	5/16/2019 2:39 PM EDT	View Notification

USAC Guidance on the Form 486

- Form 486 Filing page on USAC website
 - https://www.usac.org/e-rate/applicant-process/starting-services/fccform-486-filing/
- How to File Form 486 video scroll to Videos section on FCC Form 486
- https://www.usac.org/e-rate/learn/videos/

Post-Commitment Requests

APPEALS, SERVICE SUBSTITUTIONS, SPIN CHANGES, AND THE FORM 500

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Changes after the FCDL

- Some post-commitment changes can be made after a Funding Commitment Decision Letter (FCDL) is issued:
 - Appeals related to Form 471 errors
 - Service substitutions when the original product/service cannot be purchased
 - SPIN changes to correct the information about the service provider
 - Form 500 changes request service delivery extensions, reduce/cancel funding, etc.
- When a post-commitment change is approved, USAC will issue a Revised Funding Commitment Decision Letter (RFCDL).

Appeals

Within 60 days of receiving an FCDL, applicants can appeal directly to USAC for reconsideration if funding is denied or committed in the wrong amount.

- USAC recommends creating a customer service case first in case an administrative remedy is possible. Sometimes USAC will initiate the appeals process if they're aware that the reviewer made an error.
- Once an appeal is processed, USAC will issue a Revised Funding Commitment Decision Letter (RFCDL).
- If more than 60 days have passed since the FCDL, the applicant must file an appeal directly to the Federal Communications Commission.

More information on USAC website:

https://www.usac.org/about/appeals-audits/appeals/

Service Substitutions (1/2)

- Service Substitutions are filed in the <u>E-rate Productivity Center</u>
- Submit a Service Substitution if the product/service you listed on the Form 471 is no longer available or the equipment is not compatible with your library's existing equipment – request must be submitted & approved before you can complete E-rate invoicing for the affected FRN(s). Service substitutions often 2 to 8 weeks to approve.
- Product or service must serve the same function
 - Example: substitute one firewall for another firewall if the particular model requested is no longer available or won't meet your library's needs. You can't use that funding to get a rack enclosure instead – it doesn't serve the same function.

Service Substitutions (2/2)

- Can't receive more funding than the amount already committed for the original product or service
 - If the substituted product/service is more expensive, the library will have to eat those higher costs
- Deadline to file a service substitution: last date to file invoicing for the relevant funding request. Your library will be given additional time to complete invoicing if the substitution is approved close to or after the invoicing deadline.
- Instructions on the USAC website:
 - https://www.usac.org/e-rate/applicant-process/beforeyoure-done/service-substitutions/

SPIN Changes

The Service Provider Identification Number (SPIN/Form 498 ID) associated with a funding request can be updated in some situations:

- Corrective SPIN change used when you accidentally listed the wrong
 SPIN on the Form 471 or when mergers cause the SPIN to change
- Operational SPIN change used when you are forced to find another vendor due to vendor's bankruptcy or vendor's inability to provide services. You can't simply switch vendors because you find a better price. If the original vendor provided service for part of the funding year, the remaining funding will be moved to a new funding request number for the new vendor—this is called a split FRN.

For more information, see:

 https://www.usac.org/e-rate/applicant-process/before-youredone/spin-changes/

Form 500 (1/2)

Reasons to file the Form 500:

- Adjusting the Service Start Date on a previously filed Form 486
- To adjust the Contract Expiration Date listed on the Form 471
- To request an extension of the service delivery and installation deadline for non-recurring services (example – construction/renovation delays causing C2 installation delays);
- To cancel or reduce the amount of an FRN (irrevocably!)
- To notify USAC of an equipment transfer within the three year prohibition on equipment transfers due to a temporary or permanent entity closure – through Funding Year 2020; Form 500 equipment transfers will no longer be required starting with Funding Year 2021 purchases and forward

Form 500 (2/2)

As of December 2016, the Form 500 is filed in the E-rate Productivity Center

- For Funding Year 2016 funding requests and forward, the form is filed electronically in the <u>E-rate Productivity Center</u>.
- For Funding Year 2015 funding requests and prior, you must download a paper copy of the form, fill it out by hand, and then upload it in the E-rate Productivity Center

More information on USAC website:

 https://www.usac.org/e-rate/applicant-process/before-youredone/fcc-form-500-filing/

Wrap-Up

RESOURCES AND MORE

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Resources

KDLA's E-rate page

https://kdla.ky.gov/librarians/programs/e-rate/Pages/default.aspx

KDLA Archived Webinars page

 https://kdla.ky.gov/librarians/staffdevelopment/kdlaarchivedwebinars/P ages/default.aspx

USAC Schools and Libraries (E-rate) Program Website

https://www.usac.org/e-rate/

USAC Client Service Bureau

1-888-203-8100 or create a case in <u>E-rate Productivity Center</u>

USAC Trainings page

https://www.usac.org/e-rate/learn/

Sign up for KYTECH LISTSERV®

For Library Technology Support Staff:

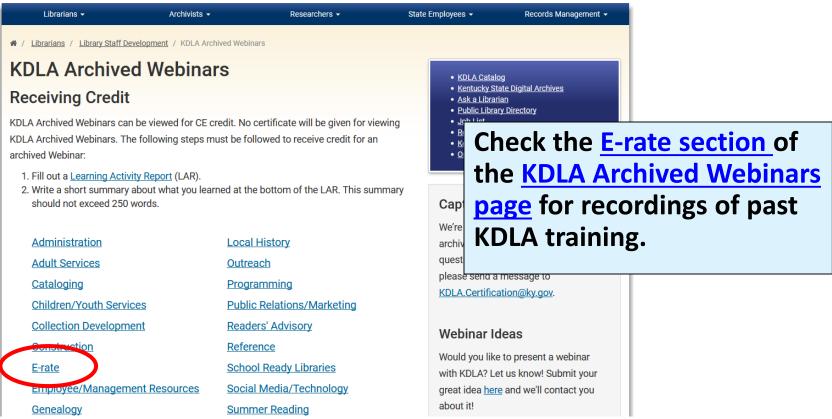
- KYTECH is a monitored discussion list devoted to those who work with technology for their library. By using this LISTSERV®, individuals from around the state will have the chance to ask questions, share ideas, voice concerns, and make valuable contacts all through their e-mail.
- TO SUBSCRIBE: Send a blank message to: joinkytech@listserv.ky.gov

E-rate updates and reminders are posted to KYTECH.

KDLA Archived Webinars



Kentucky Department for Libraries and Archives



Thank you for attending!

Please complete our brief survey. Your responses help us to improve training and are used for KDLA's grant reporting: https://www.surveymonkey.com/r/FSY2PF7



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Lauren Abner

Technology Consultant

lauren.abner@ky.gov

(502) 564-1728

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