

County Coroner

Records Retention Schedule

Prepared by the Local Records Branch Archives and Records Management Division Approved by the State Libraries, Archives, and Records Commission



County Coroner Records Retention Schedule

This retention schedule is to be used by all County Coroner offices in the Commonwealth. It lists records that are legally required for them to create and/or specific to the County Coroner's Office. The County Coroner Records Retention Schedule should be used along with the Local Government General Records Retention Schedule, which lists those records that are common to all local government agencies, i.e. financial, personnel, payroll etc. The records retention schedule is the foundation of an effective records management program and must be used on regular basis. All retention schedules have been approved by the State Archives and Records Commission in accordance with KRS 171.410 – 740. This approval provides the legal basis for all local agencies to apply the appropriate schedule to their records management needs.

GLOSSARY OF TERMS For Records Retention Schedules

Permanent (P) Denotes records appraised as having historical, informational or evidential value that warrants preserving them permanently (forever) beyond the time needed for their intended administrative, legal or fiscal functions. These records may be destroyed **only** after the written permission is given by the State Archivist and after they are microfilmed according to specifications published by the Department for Libraries and Archives.

- **Confidential (C)** Records deemed unavailable for review by the public after applying the state's Open Records Law (KRS 61.878) and other state and federal statutes and regulations with specific restrictions. The (C) is added to appropriate record series descriptions as a reminder to agency personnel and does not bear any legal status. It is important to note that the local government head has the responsibility of knowing all the appropriate confidentiality laws, statutes and regulations that apply to the records maintained in their office and to see that they are enforced. Even though a record series may or may not be marked confidential on a records retention schedule, contradictory laws or regulations that are approved after the retention schedule has been completed may not be reflected but must be honored.
- **Vital Record (V)** Records that are essential to the continued functioning of the local government during and after an emergency, as well as those records that are essential to the protection of the rights and interests of that local government and of the individuals for whose rights and interests it has a responsibility. Local Government should have a plan in place to identify those records and provide for their protection in case of a disaster (fire, flood, earthquake, etc.).
- **Duplicates** Duplicate records that have not been assigned a retention period and function solely as reference and informational material may be destroyed when no longer useful. If the duplicate is considered the "copy of record", it must be retained according to the retention period on the schedule.

Destruction

- **Certificate** A destruction certificate should be used to document the destruction of public records and may be found, along with the instructions at the Kentucky Department for Libraries and Archives website (<u>www.kdla.ky.gov</u>). It should be used when destroying records according to the appropriate records retention schedule. No record created or maintained by a local government agency may be destroyed unless it is listed on the retention schedule and a destruction certificate completed and the original copy sent to the Department for Libraries and Archives (Department).
- After Audit A term used in the disposition instructions to denote a records series that shall only be destroyed after the retention period has expired and an official audit has been performed. Example: 3 years and audit: This means that the record series must be kept for 3 years after last activity or date in a file. The record must have gone through the annual audit before it can be destroyed.

RECORDS RETENTION SCHEDULE

Signature Page

County Coronor

Agency

Unit

December 1989

Schedule Date

June 12, 2025

Change Date

June 12, 2025

Date Approved By Commission

APPROVALS

The undersigned approve of the following Records Retention Schedule or Change:

Agency Head

Agency Records Officer

Durin

Chair, State Libraries, Archives, and Records Commission

Rusty Heckaman

State Archivist

Date of Approval

Date of Approval

6/18/2025

Date of Approval

6/18/2025

Date of Approval

The undersigned Archives and Records Management Division staff have examined the record items and recommend the disposition as shown:

David Atha

Records Analyst/Regional Administrator

Jennifer Patterson

Appraisal Archivist

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State/Local Records Branch Manager

6/17/2025

Date of Approval

6/17/2025

Date of Approval

6/17/2025

Date of Approval

The determination as set forth meets with my approval.

Jeremy Sylvester

Auditor of Public Accounts or Designee

6/18/2025

Date of Approval

Archives and Records Management Division Kentucky Department for Libraries and Archives

LOCAL AGENCY RECORDS RETENTION SCHEDULE

LOCAL GOVERNMENTS County/Local: County Coroner

Series	Records Title and Description	Function and Use
L2615	Case File (V)	The function of the case file is to hold all documentation pertaining to the Coroner's case. A case file is generated when any death is investigated by the Coroner. All deaths investigated by the Coroner must be within the 19-point guidelines of KRS 72.025. The primary exception to a coroner's death investigations is the event of confirmed natural death. The case file is used for court appearances by the Coroner or Deputy Coroner, and for any reference to the case (i.e. insurance purposes, police references, and media references).
	Access Restrictions	Agencies should consult legal counsel regarding open records matters.
	Series May Contain	Autopsy worksheet, autopsy report, death certificate, coroner's requisition of police report, request for toxicology analysis, toxicology evidence receiving record, correspondence with insurance company, personal effects sheet/property record, coroner's notes, body diagram of body chart, coroner's authorization for post-mortem examination, newspaper clippings, copies of official police photographs, correspondence relative to the case, copies of laws and pertinent reference information, gunshot residue report, post-mortem examination (including time and date performed, persons who performed and attended the examination, narration, photos, and body sketches/charts), provisional report of death, coroner's investigation report, accidental death report from fire department, Chief Medical Examiner findings, coroner's authorization for autopsy, medical history, receipt for personal effects/evidence, coroner's warning seal. ON CASE FOLDER: Case number, name and address of victim, suspect, date death certificate completed, pathologist, conclusion (homicide, suicide, accident, perioperative, no jurisdiction, jurisdiction terminated, therapeutic misadventure, undetermined, natural), preliminary hearing and final disposition dates. PENDING: body delivery record, coroner investigation, medical history, medical examiner post-mortem report, pronouncement, toxicology, reply to coroner, hospital final post-mortem report, Fire Marshal's report, newspaper, police reports, other. Protocols sent: homicide, Commonwealth or County Attorney, hospital, others.
	Retention and Disposition	Retain permanently
L2616	Investigation Report (V)	This record was created to document the circumstances surrounding the death of an individual. This report is not completed when the death involved does not come under the coroners jurisdiction (See Attached KRS Statute). This report is completed by the coroner or deputy at the time of death and is mandated according to KRS 72.025. Being the initial report done by the coroner, it is used extensively during an subsequent investigation by the coroners office or the law enforcement agencies.
	Access Restrictions	KRS 61.878, OAG 82-458-Pending unnatural deaths.
	Series May Contain	Time call received, date, time of arrival, time of departure, person calling coroner, name of deceased, place of death, address of deceased, time of death, birthdate, date of death, birthplace, age, sex, race, marital status, next of kin, maiden name, fathers name, mothers maiden name, SS #, occupation, employed by, pronounced dead by, PD/Officer, E. C./F.D./Unit, summary, dominant hand, clothing, position of body, height, weight, eyes, hair, distinguishing marks, algor, rigor, liver, rm temp., weather conditions, medications, found death by, last seen alive by, witness to injury, illness, or death, other subjects, or passengers involved, evidence obtained at scene, statistics classification no., case no., name of suspect, address, D.O.B., age, SS #, autopsy, location, D.C. signed by, M.E.: SEE ATTACHMENT
	Retention and Disposition	Retain permanently

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Series	Records Title and Description	Function and Use
L2617	Index to Investigation Reports and Case Files (V)	This record is used as a finding aid for the coroners investigation reports and case files. Not all offices have these. It is color coded according to type of death.
	Access Restrictions	None
	Series May Contain	This record contains a card with the number of the case, name of deceased individual, address, date of death, place of death and funeral home.
	Retention and Disposition	Retain permanently
L2618	Annual Statistical Report	This is a report compiled annually by the coroners office to document the activities of that office during that year. These statistics are gathered to reflect the investigations of the coroners office and what types of deaths were investigated. This report is sent to the news media, public library, and the Urban County Government or Fiscal Court.
	Access Restrictions	None
	Series May Contain	This record contains statistical information as to cause of deaths and they are divided into the following categories: (1) Accidental deaths-Vehicle, thereunder auto -vs- auto, fixed object, pedestrian, truck, tractor trailer, motorcycle -vs- fixed object, moped -vs- fixed object, truck -vs- auto, truck or fixed object, total accidental deaths-vehicle outside county, and total accidental deaths - vehicle. (2) Accidental Deaths, thereunder by blunt object, carbon monoxide, drugs, falls, fire, farm machinery, suffocation, private property motor vehicle accident, hyperthermia, total. (3) Accidental Deaths(Industrial), thereunder by steel beams, falls, and total. (4) Suicide whether by carbon monoxide auto, explosion, fire arms, hanging, jumping, or drugs and total. (5) Homicide. Total deaths.
	Retention and Disposition	Retain permanently
L2619	Certificate of Death (V)	This record was created to record the death of persons and certify the cause of death. Questions 1-23b are to be completed by the funeral home. The remainder is to be completed by the coroners office if the death comes under the jurisdiction of that office (See Attached KRS Statute 72.025). After completing this certificate it is sent to the local vital statistics office. A duplicate is maintained in the coroners office either in the case file or in a separate file. When there is an unnatural death, this certificate is put in the case file.
	Access Restrictions	None
	Series May Contain	Decedents name, sex, date of death, SS #, age, date of birth, birthplace, was decedent ever in armed forces?, place of death, facility name, city, town, or location of death, county of death, marital status, surviving spouse, decedents usual occupation, kind of business, residence-state, county, city, st.&no,., inside city limits?, zip code, was decedent of Hispanic origin?, race, decedents education, fathers name, mothers name, informants name, mailing address, method of disposition, place of disposition, location, signature of funeral licensee, name and address of facility, signature, date signed, name of person completing cause of death, time of death, date pronounced dead, was case referred to M.E. Coroner?, immediate cause, was an autopsy performed: SEE ATTACHMENT
	Retention and Disposition	Retain until no longer useful, then destroy.

LOCAL AGENCY RECORDS RETENTION SCHEDULE

LOCAL GOVERNMENTS County/Local: County Coroner

Series	Records Title and Description	Function and Use
L2620	Provisional Report of Death	This document is used to inform the local registrar of vital stats. that a death has occurred and that a certificate of death is to be sent later. This is sent to the funeral home to give them permission to bury or cremate the body. This is a release of the body from the jurisdiction of the coroners office. If there have been provisions made for special arrangements for the body (organ donation etc.), this form is used to inform those of such arrangements. This report is initiated by the coroner if the case is one to be addressed by that office. It also can be initiated by the hospital where the person died. Put in the case file if it is unnatural death. If not, is filed by itself.
	Access Restrictions	None
	Series May Contain	Name, date of death, hour, county of death, county of residence, age, race, sex, facility or location of death, attending MD/coroner, facility notes, blood and body fluid precautions advised?, Kentucky Organ Donor Affiliates, authorization to release the remains, acceptance of the remains, signature, authorization for cremation and burial.
	Retention and Disposition	Retain for two (2) years, then destroy after audit.
L2621	Evidence in Coroner's Cases (V)	Evidence items are those found near a death scene or on the body which are retained for investigation, for court trial, and/or for next of kin to claim. Generally, evidence in criminal investigations is taken by the police; in non-criminal cases by the coroner. (This practice may vary by county; in smaller counties or those without constituted police depts., the coroner may assume evidence in unnatural death cases.) Evidence in closed coroners cases is returned to next of kin when called for. Evidence in open cases must be held until final disposition. (In the case of weapons confiscated in suicide cases, the weapon must be held for 1 yr. after case disposition.)
	Access Restrictions	While investigation open. KRS 61.878 1f OAG 82-458
	Series May Contain	Items include: billfolds, personal identification, money, drug apparatus, checkbooks, personal clothing or jewelry, medications, etc. Evidence may also include weapons; however, these are often taken by the law enforcement authorities as part of their criminal investigation.
	Retention and Disposition	Retain until final disposition of case and when no longer needed, then destroy.